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23713 • 7590 04/22/2010
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July 21, 2010 / Tamala R. Jonas Reg. No. 47,688/
 Date Tamala R. Jonas - Reg. No. 47,688

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/829,598	04/22/2004	Jason A. Graetz	27-06	6855

TITLE OF INVENTION: HIGH-CAPACITY NANOSTRUCTURED GERMANIUM-CONTAINING MATERIALS AND LITHIUM ALLOYS THEREOF

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$755	\$300	\$0	\$1055	07/22/2010

EXAMINER	ART UNIT	CLASS-SUBCLASS
HODGE, ROBERT W	1795	429-226000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).
- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
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2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 GREENLEE SULLIVAN P.C.

2 _____

3 _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

California Institute of Technology

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Pasadena, California

Centre National de la Recherche Scientifique (C.N.R.S.)

Paris, FRANCE

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

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- ☒ Issue Fee
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5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature /Tamala R. Jonas Reg. No. 47,688/

Date July 21, 2010

Typed or printed name TAMALA R. JONAS

Registration No. 47,688

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